

PERSONAL ACCOUNT MASTER FILE

For Bank use only

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Araliya Potential

Yes No

BASEL Classification

Retail <input type="checkbox"/>	Corporate <input type="checkbox"/>	Other FI <input type="checkbox"/>	High Risk Category <input type="checkbox"/>
SME <input type="checkbox"/>	Registered FI <input type="checkbox"/>	Public Sector Entity <input type="checkbox"/>	Other <input type="checkbox"/>

The Manager
National Development Bank PLC

.....Branch

I the undersigned hereby submit information / details pertaining to me for your records in connection with my mandate to open an Account/s at the Bank.

In consideration thereof, I agree to provide the Bank on request, any further details or documents as may be required by the Bank from time to time. I undertake to inform the Bank immediately in the event of any change in any information provided by me.

1. PERSONAL INFORMATION																									
(i) Title	Mr/Mrs/Ms/Dr/Rev/Other <input type="checkbox"/> Male <input type="checkbox"/> Female																								
(ii) Surname																									
(iii) Given Names																									
(iv) NIC / PP Number	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table> <table border="0"> <tr> <td>Issue Date</td> <td>Expiry Date</td> </tr> <tr> <td>DD MM YYYY</td> <td>DD MM YYYY</td> </tr> </table>																					Issue Date	Expiry Date	DD MM YYYY	DD MM YYYY
Issue Date	Expiry Date																								
DD MM YYYY	DD MM YYYY																								
(v) Date of Birth	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																								
2. Nationality																									
(i) Sri Lankan	<input type="checkbox"/> Yes																								
(ii) Sri Lankan with dual citizenship (If "yes" name of country)	<input type="checkbox"/> Yes																								
(iii) Sri Lankan origin with citizenship in another country (If "yes" name of country)	<input type="checkbox"/> Yes																								
(iv) Permanent Resident / Green Card Holder (If "yes" name of country)	<input type="checkbox"/> Yes																								
(v) Foreign National	<input type="checkbox"/> Yes																								
(vi) VISA Information (If applicable)	Type of VISA : VISA Expiry Date :																								
3. Tax Information																									
(i) Are you a Tax payer in Sri Lanka	<input type="checkbox"/> Yes If "yes" Tax File Number :																								
(ii) Are you a Tax payer out side Sri Lanka	<input type="checkbox"/> Yes If "yes", Tax File Number : Tax Identification Number : Country :																								
4. Contact Information																									
(i) Address for Correspondence																									
(ii) Contact Number	Land Line (Residence) Mobile																								
(iii) Designated e-mail Address																									
(iv) Permanent Address in Sri Lanka (Address verification document required if NIC denotes a different address to what is stated herein)																									
(v) Permanent Address Overseas (If Any)																									
(vi) Status of Permanent Address	<input type="checkbox"/> Owner <input type="checkbox"/> Official <input type="checkbox"/> Friends / Relatives <input type="checkbox"/> Lease / Rent <input type="checkbox"/> Parents <input type="checkbox"/> Boarded / Lodging																								
5. Employment Information																									
(i) Occupation / Designation																									
(ii) Employer's Name																									
(iii) Nature of Business																									
(iv) Address of Business / Employer																									
(v) Telephone Numbers																									

6. Ownership of Assets / Wealth

<p>(i) Ownership of Assets / Wealth and Estimated Value</p>	<input type="checkbox"/> Residential Property Rs <input type="checkbox"/> Business Premises Rs <input type="checkbox"/> Motor Vehicles Rs	<input type="checkbox"/> Financial Assets Rs <input type="checkbox"/> Investments Rs <input type="checkbox"/> Other (Specify)..... Rs
<p>(ii) Source of Income / Wealth</p>	<input type="checkbox"/> Family Remittances <input type="checkbox"/> Commission Income <input type="checkbox"/> Gift <input type="checkbox"/> Salary / Profit Income <input type="checkbox"/> Contract Proceeds	<input type="checkbox"/> Sale / Business Turnover <input type="checkbox"/> Investment Proceeds <input type="checkbox"/> Sale of Property / Assets <input type="checkbox"/> Others (Specify).....
<p>(iii) Annual Total Income (Rs.)</p>		
<p>(iv) Other Connected Business / Professional Activities and Interests</p>		
<p>(v) Are you or any immediate family member a PEP or a close associate of a PEP? * <i>If Yes please specify (Name and relationship)</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>(vi) Marital Status</p>	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed <input type="checkbox"/> Divorced	

***Financial Intelligence Unit (FIU) Definition for PEP (Politically Exposed Persons)**

A **PEP** is an individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State owned Corporation, Government or autonomous body but does not include middle rank or junior rank individuals. In addition to the above an Immediate Family member or Close Associate of a PEP will also be designated as a PEP.

Immediate family members of PEPs include any of the following relations:

- i. spouse (current and past)
- ii. siblings (including half-siblings) and their spouses
- iii. children (including step-children and adopted children) and their spouses
- iv. parents (including step-parents)
- v. grand children and their spouses

Close associates of PEPs or their family members includes:

- (a) a natural person having joint Beneficial Ownership of legal entities and legal arrangements with a PEP or any other close business relationship with a PEP,
- (b) a legal person or legal arrangement whose Beneficial Owner is a PEP and is known to have been set up for the benefit of such person or Immediate Family Member of a PEP,
- (c) a PEP's widely and publicly known close business colleagues or personal advisors, in particular, persons acting in a financial fiduciary capacity.

I hereby acknowledge that I have read and understood the Terms and Conditions applicable to my Personal Account and Bank's specific Terms and Conditions relating to Other Services (as applicable) and confirm having read and understood the contents and agree to be bound by the said Terms and Conditions in opening and operating an Account with National Development Bank PLC. I hereby further acknowledge that the said Terms and Conditions together with the Personal Account Master File and Personal Account Opening Form constitute my contract with the Bank and that I have received a copy of the Terms and Conditions.

I also undertake to inform the Bank in writing of any changes and submit any documents, the Bank requires from time to time.

Signature

For Bank use only			
Signature Witnessed by :	Signature Verified by :		
Full Signature : EPF No :	Full Signature : EPF No :		

Branch Use	Name/ EPF/ Signature	Authorized by	Name/ EPF/ Signature	Date	Shared Services Use	Authorized by	Date
Data Input by					Signature Scanned by		
					KYC Input by		